



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: _____

Date & Time Received: _____

Date & Time of Response: _____

Entity Requesting FRF: _____

Title of Project: _____

Administrative Oversight: _____

Amount of Funding Requested: _____

Eligibility Determination:

- ☐ FRF eligible
☐ FRF ineligible
☐ Additional information requested

FRF Eligibility Category:

- | | |
|--|---|
| <input type="checkbox"/> (1) Public Health and Economic Impact | <input type="checkbox"/> (2) Premium Pay |
| <input type="checkbox"/> (3) Government Services/Lost Revenue | <input type="checkbox"/> (4) Water, Sewer, Broadband Infrastructure |

U.S. Department of Treasury Reporting Expenditure Category: _____

- ☐ Missing Form
- ☐ Supporting documentation missing
- ☐ Project will not be completed by 12/31/2026
- ☐ Ineligible purpose
- ☐ Submitter failed to timely submit CARES reports
- ☐ Additional information submitted is insufficient to make a proper determination
- ☐ Expenditure Plan incomplete
- ☐ Funds will not be obligated by 12/31/2024
- ☐ Incorrect Signatory
- ☐ Inconsistent with applicable NN or federal laws

[illegible]

Name of DOJ Reviewer: _____

Signature of DOJ Reviewer: Vm Blakely

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDNJ Initial Eligibility Determination is based on the documents provided, which NNDNJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDNJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

THE NAVAJO NATION
FISCAL RECOVERY FUNDS **REQUEST FORM & EXPENDITURE PLAN**
FOR **NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: Red Rock (Tse' Lichii') Chapter Date prepared: 11/17/22

Chapter's P.O. Box 2548 phone/email: (505) 905-8071/redrock@navajochapters.org
mailing address: Gallup, NM 87305 website (if any): redrock.navajochapters.org

This Form prepared by: Leatrice Nelson phone/email: (505) 905-8071/redrock@navajochapters.org
Leatrice Nelson, AMS/Delegated CSC lnelson@nnchapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: Red Rock (Tse' Lichii') Water Line Project

Chapter President: Louise Hoskie phone & email: (505) 905-8134/lhoskie@naataanii.org

Chapter Vice-President: Ida M. Nelson phone & email: (505) 905-8134/lnelson@naataanii.org

Chapter Secretary: Grace Boyne phone & email: grace48boyne@gmail.com

Chapter Treasurer: Grace Boyne phone & email: grace48boyne@gmail.com

Chapter Manager or CSC: Leatrice Nelson-Delegated phone & email: (505) 905-8071/lnelson@nnchapters.org

DCD/Chapter ASO: Guarena Adeky-SPPS phone & email: (505)905-1050/gadeky@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): NTUA and NECA

☐ document attached

Amount of FRF requested: \$460,000.00 FRF funding period: December 2022 to September 30, 2024
Indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Red Rock Chapter Water Line Project; Safe water is a critical part of daily living, hygiene is essential in fighting against Covid 19 virus. Community members of Red Rock need assessable water lines to their homes to help fight against Covid 19 by providing basic hygiene by; (washing their hands), cleaning/sanitizing their home for daily hygiene care.

☒ document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Safe waterline access to the Red Rock Community members provides safe water, sanitation and hygienic access to protecting community health during the Covid 19 pandemic. Health Officials encourage hand washing to contain the spread of Covid 19 in homes. When the homes lack access to water the individual(s) are at higher risk to contract Covid 19 virus. The waterline will benefit the RRC

☐ document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

Program(s) or Project(s) by December 31, 2026:

Request FRF funds from the Delegated Region for the short fall to cover the completion of the waterline project.

Work with Entities; NTUA, NECA & Department of Water Resources to plan, design, construct and complete project for the Red Rock community.

☐ document attached

(d) Identify who will be responsible for implementing the Program or Project:

Red Rock Chapter Administration, Chapter Officials, Senior Center Supervisor/Staff & CLUPC
Division of Community Development-CPMD Dept.
Red Rock Chapter Council Delegate
Navajo Tribal Utility Authority, NECA & Department of Water Resources (DWR)

☐ document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The home owner will be financially responsible for the monthly water usage bill.
The Construction to main water line to the home will be of the entities of NTUA & NECA
The Shortfall amount of the Water Line Project of \$460,000.00 will come from the Fiscal Recover Fund

☐ document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

5.15 Drinking Water: Other Water Infrastructure;

Assisting Red Rock community members with water line project to assist Red Rock Community members in need of Waterline access for homes to provide basic sanitation and maintain barriers to human to human transmission of the Covid 19 virus.

☐ document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

1. Resolution
2. Waterline Client List

☐ Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's
Preparer:

[Signature]
signature of Preparer/CONTACT PERSON

Approved by:

[Signature]
signature of Chapter President (or Vice-President)

Approved by:

[Signature]
signature of CSC

Approved by:

[Signature]
signature of Chapter ASO

Approved to submit
for Review:

[Signature]
signature of DCD Director

FY 2023

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

Page 1 of 3
BUDGET FORM 1

PART I. Business Unit No.: <u>K - (New)</u>		Program Title: <u>RED ROCK (TSE'LICHII) CHAPTER</u>		Division/Branch: <u>DCD-Executive</u>	
Prepared By: <u>Leatrice Nelson, AMS/Delegated CSC</u>		Phone No.: <u>(505) 905-8071</u>		Email Address: <u>redrock@navajochapters.org</u>	

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
NNFRF FUNDS	<u>1-7/15/05</u>	460,000.00	100%	2001 Personnel Expenses				
CJN-29-22	<u>DEL 5/15/2022 - 5/15/2023</u>			3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services	<u>6</u>		<u>460,000</u>	<u>460,000</u>
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	460,000.00	<u>460,000</u>

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:		<u>0</u>	<u>0</u>
Total # of Vehicles Budgeted:		<u>0</u>	<u>0</u>

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.	
SUBMITTED BY: <u>Sonlasta Jim-Martin</u> Sonlasta Jim-Martin Program Manager's Printed Name <u>Sonlasta Jim-Martin</u> Program Manager's Signature and Date	APPROVED BY: <u>Dr. Pearl Yellowman</u> Dr. Pearl Yellowman Division Director / Branch Chief's Printed Name <u>Dr. Pearl Yellowman 12.2.22</u> Division Director / Branch Chief's Signature and Date

FY 2023

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

Page 2 of 3
BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: K - (New)

Program Name/Title:

RED ROCK (TSE' LICHII) CHAPTER

*Waterline Project***PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:****PART III. PROGRAM PERFORMANCE CRITERIA:**

1st QTR		2nd QTR		3rd QTR		4th QTR	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

1. Goal Statement:

Request for short fall from Delegate Regional Project (DRP)

Program Performance Measure/Objective:

Resolution, FRF documents and Expenditure Plans to Council Delegate

		1					
--	--	---	--	--	--	--	--

2. Goal Statement:

Plan, Design, Construct Waterline to Community Members

Program Performance Measure/Objective:

Project ready with short fall funds to implement/construction schedule.

		1					
--	--	---	--	--	--	--	--

3. Goal Statement:

Monitor project and update programs responsible for implementing project

Program Performance Measure/Objective:

Ensure Waterline Milestones are meant.

						1	
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4. Goal Statement:

Completion of Project-Close Out

Program Performance Measure/Objective:

Close Out documents with DCD, Chapter Officials and FRF

						1	
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5. Goal Statement:**Program Performance Measure/Objective:**

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PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Sonalsta Jim Martin, Program Manager's Printed Name

Sonalsta Jim Martin

Program Manager's Signature and Date

Pearl Yellowman, Division Director/Branch Chief's Printed

Pearl Yellowman

Division Director/Branch Chief's Signature and Date

Pearl Yellowman 12-2-22

FY 2023THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATIONPage 3 of 3
BUDGET FORM 4

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>RED ROCK (TSE'LICHII) CHAPTER <i>Waterline Project</i></u>		Business Unit No.: <u>K - (New)</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
Request	Funds; Short fall request from DRP with Appendix A (Reequest Form & Expenditure Plan), Appendix B (Budget Forms) and Resolution	460,000	460,000
Plan	Implementing Project coordination with entities; RRC Admin, RRC Officials, RRC CLUPC, DCD, Council Delegate (DRP), NTUA, NECA & Dept. of Water Resources and/or other entities upon request.		
Design	Implement Plan for construction; identify all community members who will receive waterline.		
Construct	Monitor and Implement construction of waterline in the Red Rock Community.		
Closeout	Completion of Waterline project.		
TOTAL		460,000	460,000

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

Page ____ of ____
PROJECT FORM

PART I. Business Unit No.: <u>K - (New)</u> Project Title: <u>Red Rock (Tse' Lichii) Chapter Waterline Project</u> Project Description: <u>Red Rock (Tse' Lichii) Chapter Waterline Project</u> Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification															PART II. Project Information Project Type: <u>Construction</u> Planned Start Date: <u>12/1/22</u> Planned End Date: <u>30-Sep-26 2024</u> Project Manager: <u>OEH-E & NECA</u>															
PART III. List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.																				Expected Completion Date if project exceeds 8 FY Qtrs.									
	FY 2023												FY 2024								Date									
	1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			Date					
	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M
Waterline FRF Funds				X	X	X	X	X	X																					
Plan										X	X	X	X	X	X	X	X	X												
Design																			X	X	X									
Construct																						X	X	X	X	X	X	Cont'd		
Completion-Close Out (Est'd Sept 2026)																														
PART V.	\$			\$			\$			\$			\$			\$			\$			\$			PROJECT TOTAL					
Expected Quarterly Expenditures				65,714 ²⁸			65,714 ²⁸			65,719 ²⁸			65,714 ²⁸			65,714 ²⁸			65,714 ²⁸			65,714 ³²			460,000					

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



THE NAVAJO NATION

JONATHAN NEZ, DEPUTY CHIEF

MYRON LIZER, VICE CHIEF

LOUISE HOSKIE, CHAPTER PRESIDENT

IDA M. NELSON, CHAPTER VICE-PRESIDENT

GRACE M. BOYNE, CHAPTER SECRETARY/TREASURER

SETH DAMON, 24TH NN COUNCIL SPEAKER

ANDERSON LEE, COMMUNITY SERVICE COORDINATOR

LEATRICA NELSON, ACCOUNT MAINTENANCE SPECIALIST

RESOLUTION OF THE TSE' LICHII CHAPTER RRC-016-2023-008

Approving and Supporting the Resolution #CJN-29-22 of the Navajo Nation 24th Navajo Nation Council To Fund Chapter and Regional Projects in the amount of \$8.8 million dollars Which Would Allow Red Rock Chapter Implement Various Waterline Projects and Requesting Funds from Delegate Honorable Speaker Seth Damon's Shortfall Expenditure Plan in the Amount of \$460,000.00 of the American Rescue Plan Act.

WHEREAS:

1. Pursuant to 26 N.N.C., Section I, (B, (1), (2) and Section 103, the Tse'Lichii Chapter is recognized as a local government entity and delegated certain authorities and responsibilities with respect to local matters consistent with Navajo Nation law; and
2. Pursuant to 2 N.N.C., Section 4028(a), the Tse'Lichii Chapter has the authority to review all matters affecting the community and make appropriate recommendations to the Navajo Nation, County and State government agencies; and
3. Because of the Covid-19 Pandemic, the United States government passed Legislation ARPA (American Rescue Plan Act) to allow the Navajo Nation is to support the COVID-19 public health and economic response by addressing COVID 19 and its support for public health; and
4. The passage of ARPA (American Rescue Plan is also to pay premium pay to eligible workers performing essential services and to make necessary investments in water, sewer and broadband infrastructure; and
5. The 14th Navajo Nation Council passed and approved Resolution CJN-29-22 which approved the Navajo Nation Fiscal Recovery Fund Expenditure Plans for chapter and regional projects and rural addressing, water and wastewater projects, broadband projects, home electricity connection, and bathroom additions projects; and
6. The Navajo Nation received \$211, 256,148 as a total allocation which is to be distributed equally between the 24 chapters; the total allocation to each chapter is \$8,892,240.
7. The Tse'Lichii Chapter has been working on building a new Senior Center and the design phase has been completed and the construction of the new building is its next step; however, the chapter lacks construction funds in the amount of \$460,000 to start construction.

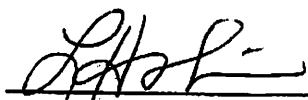
NOW, THEREFORE BE IT RESOLVED THAT:

1. The Tse'Lichii Chapter approves and supports Resolution #CJN-29-22 of the 24th Navajo Nation Council legislation which would allow the Senior Center to be completed using the ARPA funds. The Tse'Lichii Chapter is requesting \$4,000,000 from the ARPA funds to initiate construction of the the Senior Center Building.

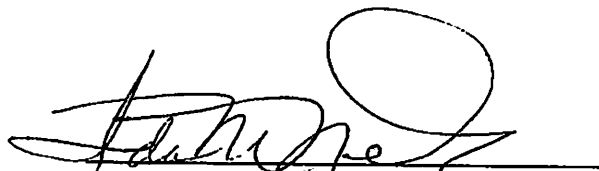
WE, HEREBY, CERTIFY THAT THE FOREGOING RESOLUTION was duly considered at a duly-called meeting of the Tse'Lichii Chapter at which time a quorum was present and move to adoption on this 15th day of November 2022.

Motion: Andrea Lee
Vote: 16-0-3

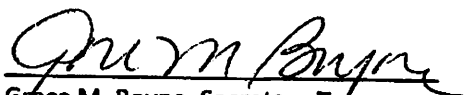
Second: Autumn Santestevan



Louise Hoskie, President



Ida M. Nelson, Vice President



Grace M. Boyne, Secretary-Treasurer



THE NAVAJO NATION

RED ROCK CHAPTER #16

JONATHAN NEZ **PRESIDENT**
MYRON LIZER **VICE PRESIDENT**

Louise Hoskie, Chapter President
Ida M. Nelson, Chapter Vice-President
Grace M. Boyne, Chapter Secretary/Treasurer

Seth Damon, 24th Council Delegate
Vacant, Community Service Coordinator
Leatrice Nelson, Account Maintenance Specialist

November 21, 2022

RED ROCK CHAPTER WATERLINE/BATHROOM ADDITION REQUEST & APPLICATIONS

1. Virginia Billie
2. Evelyn Naswood
3. Gerald DuBois
4. Ronald Spencer
5. Lillie Joe
6. Gilbert Smith
7. Marjorie Jones-Spencer
8. Louise Thompson
9. Sheallbe Spencer
10. Presley Nelson
11. Wilson Hoskie
12. Helen Etsitty
13. Jodeanna Martin
14. Lee Wilson
15. Kathleen N. Chee
16. Layne Howard
17. Bernice Martin
18. Henry Chee
19. Vacant
20. Vacant



TSE' LICHII (RED ROCK) CHAPTER- POST OFFICE BOX 2548 GALLUP, NM 87305 / PHONE (505) 905-8071 / 8135

FAX: (505) 905-1049 EMAIL: REDROCK@NAVAJOCHAPTER.ORG